Notification template for the exchange of information in relation to branch passport applications by payment institutions and e-money institutions

|  |  |  |
| --- | --- | --- |
| 1) | Home Member State | Klicken Sie hier, um Text einzugeben. |
| 2) | Name of the competent authorities of the home Member State | Klicken Sie hier, um Text einzugeben. |
| 3) | Date of receipt by the competent authority of the home Member State of the complete and accurate application from the payment institution/e-money institution | DD/MM/YYYY |
| 4) | Member State where the branch is to be established | Klicken Sie hier, um Text einzugeben. |
| 5) | Type of application | [ ]  First application[ ]  Change to previous application[ ]  End of business activity/ cessation |
| 6) | Type of Institution | [ ]  Payment Insitutution[ ]  E-Money Insitution |
| 7) | Name of the payment institution/e-money institution | Klicken Sie hier, um Text einzugeben. |
| 8) | Head office address of the payment institution/e-money institution | Klicken Sie hier, um Text einzugeben. |
| 9) | Unique identification number of the payment institution/e-money institution in the format of the home Member State as specified in Annex I (where applicable) | Klicken Sie hier, um Text einzugeben. |
| 10) | Legal Entity Identifier (LEI) of the payment institu­tion/e-money institution (where available) | Klicken Sie hier, um Text einzugeben. |
| 11) | Home Member State authorisation number of the payment institution/e-money institution (where applicable) | Klicken Sie hier, um Text einzugeben. |
| 12) | Contact person within the payment institution/e-money institution | Klicken Sie hier, um Text einzugeben. |
| 13) | Email of the contact person within the payment institution/e-money institution | Klicken Sie hier, um Text einzugeben. |
| 14) | Telephone number of the contact person within the payment institution/e-money institution | Klicken Sie hier, um Text einzugeben. |
| 15) | Branch address | Klicken Sie hier, um Text einzugeben. |
| 16) | Identity of persons responsible for the management of the branch | Klicken Sie hier, um Text einzugeben. |
| 17) | Email of the persons responsible for the management of the branch | Klicken Sie hier, um Text einzugeben. |
| 18) | Telephone number of the persons responsible for the management of the branch | Klicken Sie hier, um Text einzugeben. |
| 19) | Identity and contact details of directors and persons responsible for the management of the agent engaged | 1. ☐ Services enabling cash to be placed on a payment account as well as all the operations required for operating a payment account2. ☐ Services enabling cash withdrawals from a payment account as well as all the operations required for operating a payment account3. Execution of payment transactions, including trans­fers of funds on a pay-ment account with the user's payment provider or with another payment service provider:a) ☐ execution of direct debits, inclu-ding one-off di­rect debitsb) ☐ execution of payment transactions through a pay­ment card or a similar devicec) ☐ execution of credit transfers, inclu-ding standing orders4. Execution of payment transactions where the funds are covered by a credit line for a payment service user:a) ☐ execution of direct debits, inclu-ding one-off di­rect debitsb) ☐ execution of payment transactions through a pay­ment card or a similar devicec) ☐ execution of credit transfers, inclu-ding standing ordersIncluding granting of credit in ac-cordance with Article 18(4) of Directive (EU) 2015/2366: ☐ yes ☐ no5. ☐ Issuing of payment instruments ☐ Acquiring of payment transactionsIncluding granting of credit in ac-cordance with Article 18(4) of Directive (EU) 2015/2366:☐ yes ☐ no6. ☐ Money remittance7. ☐ Payment initiation services8. ☐ Account information services |
| 20) | Electronic money services to be provided (applicable only to e-money institutions) |  [ ]  Issuing of electronic money [ ]  Distribution and/or Redemption o  electronic money |
| 21) | Description of the organisational structure of the branch | Klicken Sie hier, um Text einzugeben. |
| 22) | Business plan, which demonstrates that the branch is able to employ the appropriate and proportionate systems, resources and procedures to operate soundly in the host Member State, comprising:a. main objectives and business strategy of the branch and an explanation of how the branch will contribute to the strategy of the institution and, where applicable, of its group;b. a forecast budget calculation for the first three complete financial years. | Klicken Sie hier, um Text einzugeben. |
| 23) | Governance arrangements and internal control mechanisms, comprising the following items:a. description of the governance structure of the branch, including functional and legal reporting lines and the position and role of the branch within the corporate structure of the institution and, where applicable, of its group;b. description of internal control mechanisms of the branch, including the following items:i. internal risk control procedures of the branch, the link with the internal risk control procedure of the payment institution/e-money institution, and where applicable, of its group;ii. details of the internal audit arrangements of the branch;iii. details of the anti-money laundering procedures to be adopted by the branch in the host Member State, under Directive (EU) 2015/849. | Klicken Sie hier, um Text einzugeben. |
| 24) | In case of outsourcing of operational functions of payment/e-money services:a. Name and address of the entity to which operational functions are to be outsourced;b. Contact details (email and telephone number) of a contact person within the entity to which operational functions are to be outsourced;c. Type and exhaustive description of the operational functions outsourced. | Klicken Sie hier, um Text einzugeben. |